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PATENT

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. MBHB00-488)

In the Application of:

Crouthamel, et al.

Serial No. 09/640,437

Filed: August 17, 2000

For: Method and System for Exchanging Data  
Between Affiliated Sites



Before the Examiner:

Group Art Unit: 2161

Assistant Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2100

NOV 22 2000

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Sir:

TRANSMITTAL LETTER

1. We are transmitting herewith the attached:

- a) Information Disclosure Statement (W/25 patents and references);
- b) PTO Form 1449; and
- c) Post Card

2. With respect to additional fees:

  x   A. No additional fee is required.

       B. Attached is a check in the amount of \$0.00.

3. **General Authorization:** Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on this 16<sup>th</sup> day of November, 2000.

Dated:

11-16-00

By:

Sean M. Sullivan  
Reg. No. 40,191

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Technology Center 2100

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I hereby certify that this paper and every paper referred to therein as being enclosed is being deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, DC 20231, on 11-16-00 (Date of Deposit)  
11-16-00 Date [Signature] Name



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. MBHB00-488)

In re Application of:	Crouthamel, et al.	)	Examiner: Not Assigned
Serial No.	09/640,437	)	Art Unit: 2161
Filed:	August 17, 2000	)	
For:	METHOD AND SYSTEM FOR EXCHANGING DATA BETWEEN AFFILIATED SITES	)	

Asst. Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In order to comply with discretionary regulations 37 CFR 1.97 and 1.98, attached hereto is Form PTO-1449, and copies<sup>1</sup> of the documents listed thereon. These documents contain information which the Examiner may consider to be important in deciding whether to allow the present application to issue as a patent.

<sup>1</sup>To the extent that a document is listed and no copy of same is attached, then such document is not at the present time available to the undersigned or is available in the file of a parent application. If a listed document is not in the English language and an English translation is readily available, such translation is also attached; if translation is not attached it is not readily available to the undersigned. If a foreign language patent document is cited, and an English language equivalent is known to the undersigned, then such equivalent patent is also cited on the attached form along with the corresponding foreign language patent and a connecting arrow indicated therebetween; if no such English language equivalent is cited, then none is known to the undersigned.

### U.S. Patents

1. King, Jr., et al., U.S. Patent No. 5,319,542, issued June 7, 1994.
2. Kanter, U.S. Patent No. 5,537,314, dated July 16, 1996.
3. Chen et al., U.S. Patent No. 5,590,197, issued December 31, 1996.
4. Shirley et al., U.S. Patent No. 5,692,206, dated November 25, 1997.
5. Levergood et al., U.S. Patent No. 5,708,780, dated January 13, 1998.
6. Graber et al., U.S. Patent No. 5,712,979, dated January 27, 1998.
7. Payne et al., U.S. Patent No. 5,715,314, dated February 3, 1998.
8. Handelman, U.S. Patent No. 5,715,315, dated February 3, 1998.
9. Graber et al., U.S. Patent No. 5,717,860, dated February 10, 1998.
10. Gifford, U.S. Patent No. 5,724,424, dated March 3, 1998.
11. Levine et al., U.S. Patent No. 5,745,681, dated April 28, 1998.
12. Graber et al., U.S. Patent No. 5,812,769, dated September 22, 1998.
13. Damico et al., U.S. Patent No. 5,819,285, dated October 6, 1998.
14. Gerace, U.S. Patent No. 5,848,396, dated December 8, 1998.
15. Messer, U.S. Patent No. 5,991,740, dated November 23, 1999.
16. Bezos et al., U.S. Patent No. 6,029,141, dated February 22, 2000.

### Other Documents

17. D. Connolly & L. Masinter, Network Working Group Request for Comments: 2854, "The 'text/html' Media Type", June 2000, pages 1-9.
18. E. Rescorla & A. Schiffman, Network Working Group Request for Comments: 2660, "The Secure HyperText Transfer Protocol," August 1999, pages 1-45.
19. Abbay Bhushan, et al., Network Working Group Request for Comments #265, "The File Transfer Protocol," November 17, 1971, pages 1-12.

20. R. Fielding, et al., Network Working Group Request for Comments: 2616, "Hypertext Transfer Protocol – HTTP/1.1," June 1999, pages 1-176.
21. T. Dierks, et al., Network Working Group Request for Comments: 2246, "The TLS Protocol," January 1999, pages 1-80.
22. T. Berners-Lee, et al., Network Working Group Request for Comments: 1945, "Hypertext Transfer Protocol – HTTP/1.0," May 1996, pages 1-60.
23. T. Berners-Lee, et al., Network Working Group Request for Comments: 1866, "Hypertext Markup Language – 2.0," November 1995, pages 1-77.
24. W. Houser, et al., Network Working Group Request for Comments: 1865, "EDI Meets the Internet," January 1996, pages 1-41.
25. "Recommendation F.435: Message Handling: Electronic Data Interchange Messaging Service," International Telecommunication Union, CCITT (The International Telegraph and Telephone Consultative Committee), Geneva, 1991, pages 1-47.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

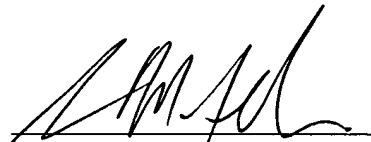
The present Disclosure Statement is being submitted in compliance with 37 CFR 1.56 insofar as an Examiner might consider any of the cited documents important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and

it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

Dated: 11-16-00

  
Sean M. Sullivan  
Reg. No. 40,191

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